

PATENT

ATTORNEY DOCKET
NO. 99P7616 US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Shaffer, et al.)	Express Mail Label No. <u>EV335970445US</u>
)	
Serial No.:	Continuation Appln No. Not yet)	Date of Deposit: <u>09-25-03</u>
	Unassigned of parent appln.)	
	09/320,826 filed on May 26,)	
	1999)	
)	
Filed:	Herewith)	
)	
Title:	SYSTEM AND METHOD FOR)	
	UTILIZING DIRECT USER SIGNALING)	
	TO ENHANCE FAULT TOLERANT)	
	H.323 SYSTEMS)	
)	
Group Art Unit:	2662)	
)	
Examiner:	Spafford)	

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b), or
(Within three months of filing national application; or date of entry of international application;
or before mailing date of either a first office action on the merits or a first office action after
filing of a Request for Continued Examination under § 1.114; whichever occurs last. References
can be found the file of the parent applicaiton)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Certification under 37 CFR 1.97 (e), or
☐ A \$240.00 fee under 37 CFR 1.17 (p) authorized to be charged to Deposit Account 19-2179.
At any time during the pendency of this application, please charge any fees required or credit
any overpayment to Deposit Account 19-2179 pursuant to 37 CFR 1.25.
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever
occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Certification under 37 CFR 1.97 (e), and
☐ the required fee under 37 CFR 1.97 (d) (2) which is \$240.00 under 37 CFR 1.17(p) is
authorized to be charged to Deposit Account 19-2179. (Filed after final action or notice of
allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97 (i)
☐ Filed after payment of issue fee, but before grant of patent - No fee or certification required.
Applicants previously filed an Information Disclosure Statement citing this reference at the
time of filing the original application but no acknowledgement was received from the
Examiner. Accordingly, Applicants resubmit this reference for the record.

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content that is given on the attached sheet or by the enclosed English-language search report.

☐ The undersigned hereby certifies under 37 CFR 1.97(e)(1) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement.

☒ Applicants do not believe any fee is due. However, at any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 19-2179 pursuant to 37 CFR 1.25. A duplicate copy of this sheet is enclosed.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner or as an admission that the information cited is, or is considered to be material to patentability.

It is requested that the information disclosed herein be made of record in this application. **Copies of the cited references are not included since the references are in the file of this application's parent application no. 09/320,826 filed May 26, 1999 from which the present application claims priority.**

Respectfully Submitted,



Rosa S. Kim, Reg. No. 39,728
Attorney for Applicant(s)
Date: 9-25-03
Telephone No.: (650) 694-5330

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	Unassigned
				Filing Date	Herewith
				First Named Inventor	Shaffer, et al.
				Group Art Unit	2662
				Examiner Name	John Pezzlo
Sheet	1	of	1	Attorney Docket Number	99P7616US01

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		09/296,222		Markku Korpi, et al.	Pending	
		5,517,563		Norell	05/1996	
		6,400,681 B1		Bertin, et al.	06/2002	
		6,366,557 B1		Hunter	04/2002	
		6,308,282 B1		Huang, et al.	10/2001	
		6,374,302		Galasso, et al.	04/2002	
		5,084,816		Boese, et al.	01/1992	
		5,384,840		Blatchford, et al.	01/1995	

FOREIGN PATENT DOCUMENTS								
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A	Segodan S: "A Gatekeeper Discovery Mechanism For H.323 Systems" Proceedings of the SPIE, SPIE, Bellingham, VA US, vol. 358, 1999, pages 316-326, XP000893007.	
	B	TOGA J. ET AL.: "ITU_T Standardization Activities for Interactive Multimedia Communications on Pack-Based Networks: H.323 and Related Recommendations" Computer Networks and ISDN Systems, North Holland Publishing, Amsterdam, NL, Vol. 31, No. 3, 11 February 1999, Pages 205-223.	
	C		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.